



0590 #14 056
Serial No. 09/933,708
Atty. No. 54719.000028 Bot See

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re, Application of:)

Thomas PICCARIELLO et al.)

Group Art Unit: 1653

Serial Number: 09/933,708)

Examiner: Unassigned

Filed: August 22, 2001)

For: ACTIVE AGENT DELIVERY SYSTEMS AND METHODS FOR PROTECTING
AND ADMINISTERING ACTIVE AGENTS

Mail Stop Sequence

Commissioner for Patents
Alexandria, VA 22313-1450

4129
Duplicate and
JRK
7-2-2003

TRANSMITTAL LETTER

On April 23, 2003, Applicants filed a "Preliminary Amendment Under 37 C.F.R. § 1.115 and Response to Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures" while inadvertently failing to attach a copy of the "Notice to Comply" dated March 4, 2003. Attached is a copy of the "Notice to Comply" dated March 4, 2003 and a copy of the filing from April 23, 2003 (including the diskette) for consideration in the above-identified application.

Applicant believes that no fees are required for this Communication. However, if any fees are required, please charge the undersigned's Deposit Account No. 50-0206.

Respectfully submitted,
HUNTON & WILLIAMS

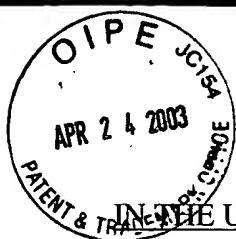
Date:

April 24th 2003

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Sequence Listing Diskette



Commissioner for Patents
Washington, DC 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/933,708	08/22/2001	Thomas Piccariello	54719.000028

CONFIRMATION NO. 6976

FORMALITIES LETTER



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Date Mailed: 03/04/2003

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE
DISCLOSURES**

Filing Date Granted

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.



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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE